



7-14-04 41 \$3625
85CF-00102
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Serial No.: 09/737,035

Filed: December 14, 2000

For: METHODS AND APPARATUS
FOR AUTOMATED
UNDERWRITING OF
SEGMENTABLE PORTFOLIO
ASSETS

Art Unit: 3625

Examiner: Yogesh C. Garg

RECEIVED

JUL 20 2004

GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is:
Transmittal (3 pgs., in duplicate); Amendment in Response to Office Action dated March 16, 2004 (15 pgs.); Return post card

STATUS

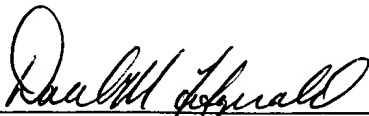
2. Applicant
☐ claims small entity status.
☒ is other than a small entity.

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER FOR PATENTS**

Express Mail Label No.: EV458032440US

Date: July 13, 2004

I hereby certify that the documents listed above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Daniel M. Fitzgerald, Reg. No. 38,880

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

| Extension for response within: | Other than small entity Fee | Small entity Fee (if applicable) |
|---|--------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> first month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> second month | \$ 420.00 | \$ 210.00 |
| <input type="checkbox"/> third month | \$ 950.00 | \$ 475.00 |
| <input type="checkbox"/> fourth month | \$1,480.00 | \$ 740.00 |
| <input type="checkbox"/> fifth month | \$2,010.00 | \$1,005.00 |
| | Fee Due | \$ 110.00 |

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension of _____ months has already been secured. The fee paid therefor \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 110.00.

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | (Col. 3) | SMALL ENTITY | | OTHER THAN SMALL ENTITY |
|--|---|-------|---------------------------------------|------------------|----------------------------|----|----------------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | ADDITIONAL RATE FEE | OR | ADDITIONAL RATE FEE |
| TOTAL | | MINUS | | = | x \$9 = \$ | | x \$18 = \$ |
| INDEP. | | MINUS | | = | x \$43 = \$ | | x \$86 = \$ |
| ____ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | | + \$145 = \$ | | + \$290 = \$ |
| | | | | | TOTAL ADDITIONAL FEE \$ | OR | TOTAL ADDITIONAL FEE \$ |

- (a) ☒ No additional fee for Claims is required

OR

- (b) ☐ Total additional fee for claims required \$

FEE PAYMENT

5. Attached is a check in the sum of \$

- ☒ Charge Deposit Account No. 01-2384 the sum of \$110.00
A duplicate of this transmittal is attached.

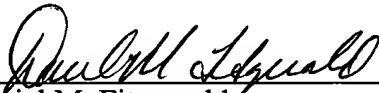
FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

- ☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. ☐ Other:



Daniel M. Fitzgerald
Reg. No. 38,880
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314/621-5070



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Serial No.: 09/737,035

Filed: December 14, 2000

For: METHODS AND APPARATUS
FOR AUTOMATED
UNDERWRITING OF
SEGMENTABLE PORTFOLIO
ASSETS

:
: Art Unit: 3625
:
: Examiner: Yogesh C. Garg
:
:

RECEIVED

JUL 20 2004

GROUP 3600

AMENDMENT

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Office Action dated March 16, 2004, Applicants respectfully request consideration and entry of the following amendment.

07/16/2004 WABDELRI 00000009 012384 09737035

01 FC:1251 110.00 DA